

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Att. Docket No: CRTWC01US1  
Date Application of: Nelson Waldo Bunker V et al.  
Serial No.: 10/043,654  
Filing Date: January 10, 2002  
Examiner: Not yet assigned  
Art Unit: 2131  
Title: NETWORK SECURITY TESTING

Mail Stop Amendments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certification Under 37 C.F.R. 1.8Date of Mailing: 3-2-05

I hereby certify that I have caused the documents indicated herein to be deposited with the United States Postal Service to Addressee" under 37 CFR § 1.8 on the date indicated above and addressed to: Mail Stop Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and mailed on the above Date of Mailing.



Mary Schnaiter

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.98(d)(1)(2)

Dear Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §1.98(d)(1)(2), that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Copies of the prior art have not been provided as the instant application is relied upon for an earlier effective filing date. Specifically, this application is a continuation-in-part of U.S. patent application number 09/861,001, filed May 18, 2001. The cited art was submitted in an Office Action dated 12/22/2004 by the Examiner in co-pending U.S. patent application number 09/861,001.

Citation of the documents shall not be construed as:

- 1) an admission that the documents are necessarily prior art with respect to the instant invention;
- 2) a representation that a search has been made, other than as described above; or
- 3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

While Applicants believe no fees are due, if any fees are due, the Commissioner is hereby authorized to charge Fortkort Grether + Kelton LLP, Deposit Account No. 50-2726.

Should the Examiner have any questions or desire clarification of any sort, the Examiner is invited to telephone the undersigned at the number listed below. Please reference Attorney Docket No. CRTW001US1.

Respectfully submitted,

FORTKORT GRETHER + KELTON LLP

Date: 3/1/05

By: Aaron A. Weiss

Aaron A. Weiss  
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Substitute for form 1499 PTO

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	10/043,654
Filing Date	January 10, 2002
First Named Inventor	Bunker V. N. et al.
Art Unit	2131
Examiner Name	Not yet assigned

Attorney Docket Number

CRTW001US1

**NON PATENT LITERATURE DOCUMENTS**

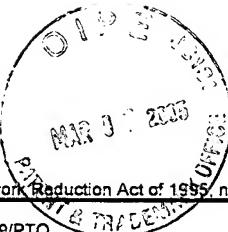
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Barnett, "NOOSE-Networked Object-Oriented Security Examiner"; 2000 LISA XIV, December 3-8, 2000, New Orleans, LA; pages 369-378	
		Business Wire; SecureLogix Makes Complete Network Security a Reality, www.businesswire.com/webbox/bw.080999/192210202.htm; December 2, 2004; 2 pages	
		SATAN Reference (Security Administrator Tool for Analyzing Networks); web.archive.org/web/20010218052520; December 6, 2004; 27 pages	
		SATAN Control Panel (Security Administrator Tool for Analyzing Networks); www.fish.com/satan/demo; December 6, 2004; 7 pages	
		Source Force; Rnmap home; web.archive.org/web/20010201055400; December 8, 2004; 2 pages	
		Stewart, Andrew J., "Distributed Metastasis: A Computer Network Penetration Methodology"; www.packetfactory.net; August 12, 1999; 11 pages	
		Shuja, Faiz Ahmad, "Distributed Vulnerability Assessment with Nessus"; GSEC Practical Assignment; SANS Institute 2004; October 2003; 25 pages	
		WINS Server; web.archive.org/web/19991013120451; December 8, 2004; 3 pages	
		Sygate Technologies Portscan FAQ; web.archive.org/web/20010204065400; December 6, 2004; 3 pages	
		Norton AntiVirus Corporate Edition, Implementation Guide; Documentation version 7.5; 40 pages	

Examiner Signature	Date Considered
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\*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
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(Use as many sheets as necessary)

Sheet	2	of	3	Attorney Docket Number	CRTW001US1
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**Complete if Known**

Application Number	10/043,654
Filing Date	January 10, 2002
First Named Inventor	Bunker V, N. et al.
Art Unit	2131
Examiner Name	Not yet assigned

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Internet Scanner, User Guide, Version 6.1; January 2001; 177 pages	
		Internet Security Systems, Security Assessment Service; 2 pages	
		Internet Security Systems, System Scanner; 2 pages	
		Internet Security Systems, "Network and Host-based Vulnerability Assessment"; www.archive.org/web/20010207011324; February 7, 2001; 10 pages	
		FOLDOC, Free On-Line Dictionary of Computing; Application Program Interface; http://foldoc.linuxguruz.org; December 8, 2004; 2 pages	
		Gula, Ron, "Dedicated and Distributed Vulnerability Management"; Tenable Network Security; www.tenablesecurity.com; December 2002 (updated June 2003); 10 pages	
		STAT Security Threat Avoidance Technology; Distributed Vulnerability Manager (STAT DVM); 2 pages	
		Humphries, Jeffrey W., "Secure Mobile Agents for Network Vulnerability Scanning"; 2000 IEEE; pages 19-25	
		The Nessus Project; Demonstration: The First Step; web.archive.org/web/20001217161000; December 8, 2004; 45 pages	
		Polk, W. Timothy; "Automated Tools for Testing Computer Systems Vulnerability"; nsi.org/Library/Compsec/CSECTOOL.TXT; December 2, 2004; 24 pages	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-03)

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

 Substitute for Form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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Sheet	3	of	3	Attorney Docket Number	
				CRTW001US1	

## NON-PATENT LITERATURE DOCUMENTS

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*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*